

# Abstract Interpretation

ATSS 2011

# How do we verify safety?

1. We define the program semantics with respect to a domain of interest
2. We define validity conditions given the semantics and the domain
3. Give some program  $P$ , we want to obtain a guarantee that it will never break the validity conditions

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We want to to **3** automatically for arbitrary programs!

# What is Abstract Interpretation?

It is an analysis framework that:

1. Given some defined program semantics under a given domain
  2. And specified validity conditions
- will do **3** automatically!

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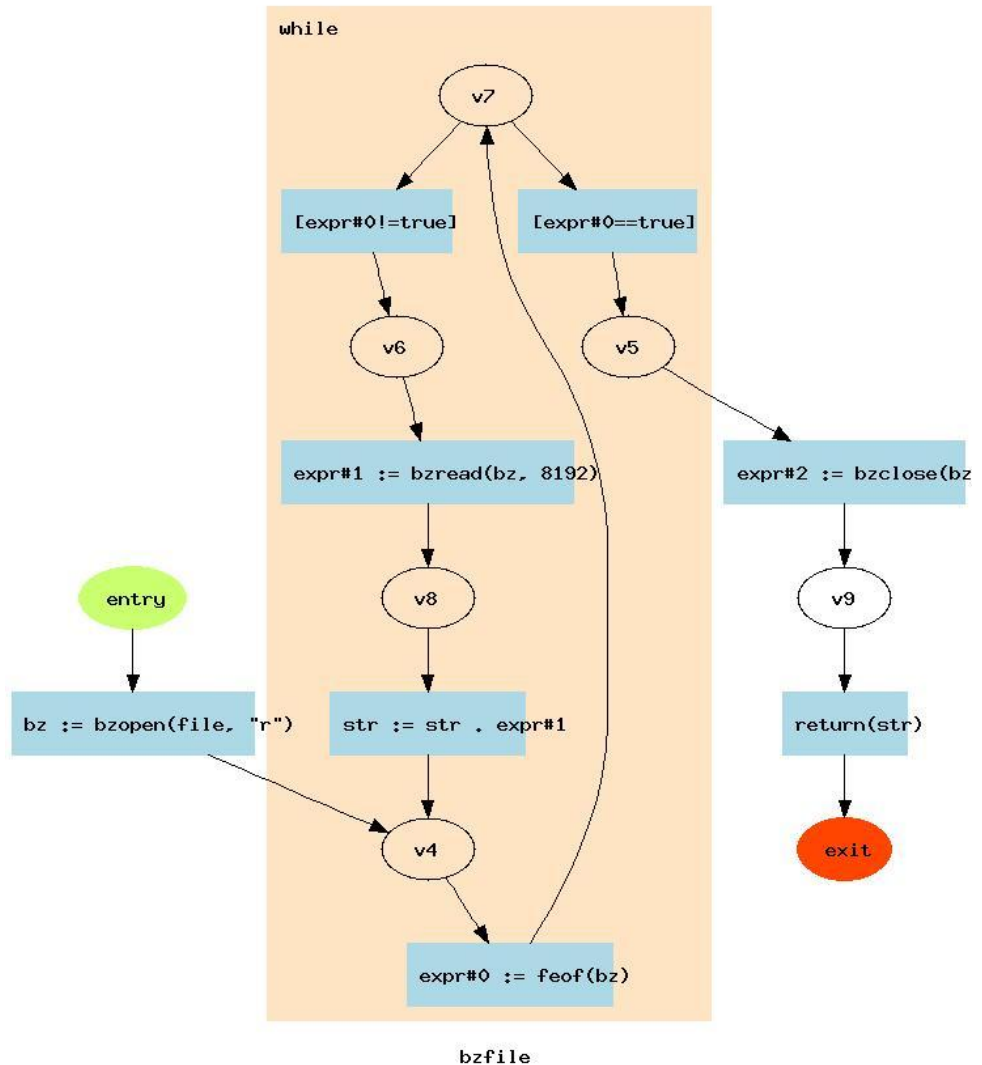
## **No free lunch:**

It requires specific properties on the domain and on the attached semantics!

# Program Representation

CFG: Labeled directed graph representation of the control flow of the program

- Entry and exit points
- Vertices represent program points
- Edges indicate the flow of execution
- Labels contain corresponding statements



# Abstract Interpretation Ingredients

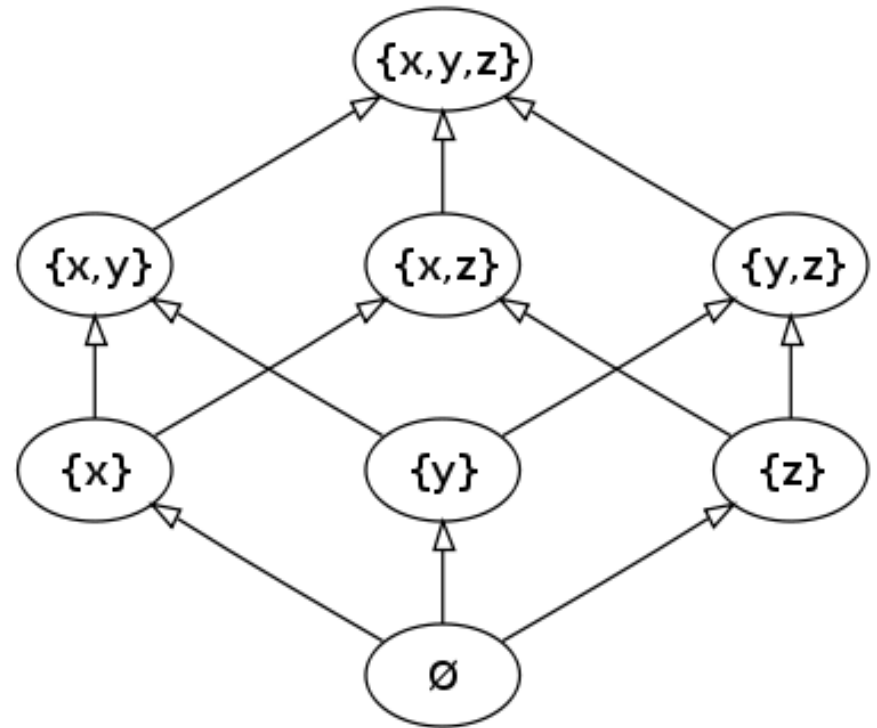
Given some concrete domain  $D_C$ :

- Abstract Domain  $D_A$  forming a lattice
  - An Abstraction Function  $D_C \mapsto D_A$
  - A Concretization Function  $D_A \mapsto D_C$
- The program semantics within  $D_A$ :
  - A transfer function  $T_f: (Stmts \times D_A) \mapsto D_A$

# Lattice

Partially ordered set  $(D, \leq)$

- Every  $a, b \in D$  there exists a minimal element  $c$  s.t.  $a \leq c, b \leq c$  (lub, join,  $\sqcup$ )
- It has a top (T) element and a bottom element ( $\perp$ )



Lattice for  $(\wp(\{x, y, z\}), \subseteq)$

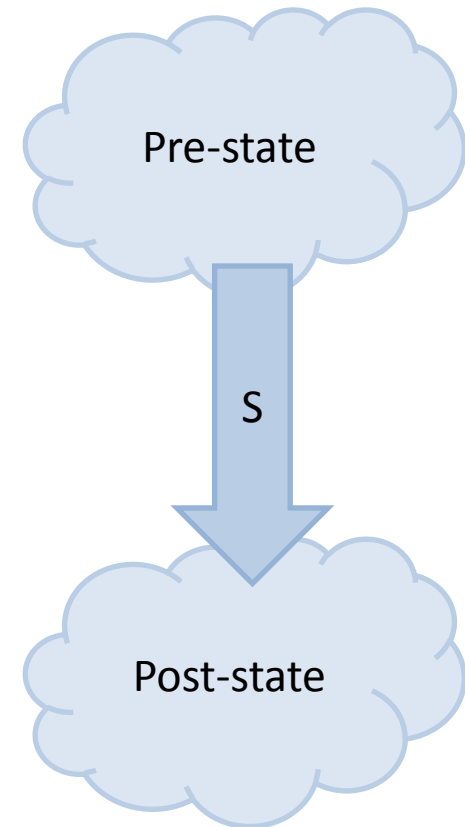


# Transfer Function

Given a statement  $S$  and an abstract pre-state, compute the abstract post-state.

Needs to be monotonous:

$$A_1 \sqsubseteq A_2 \Rightarrow T_f(S)(A_1) \sqsubseteq T_f(S)(A_2)$$



# Abstraction/Concretization

Concrete  $D_C$

Abstract  $D_A$

$C_1$

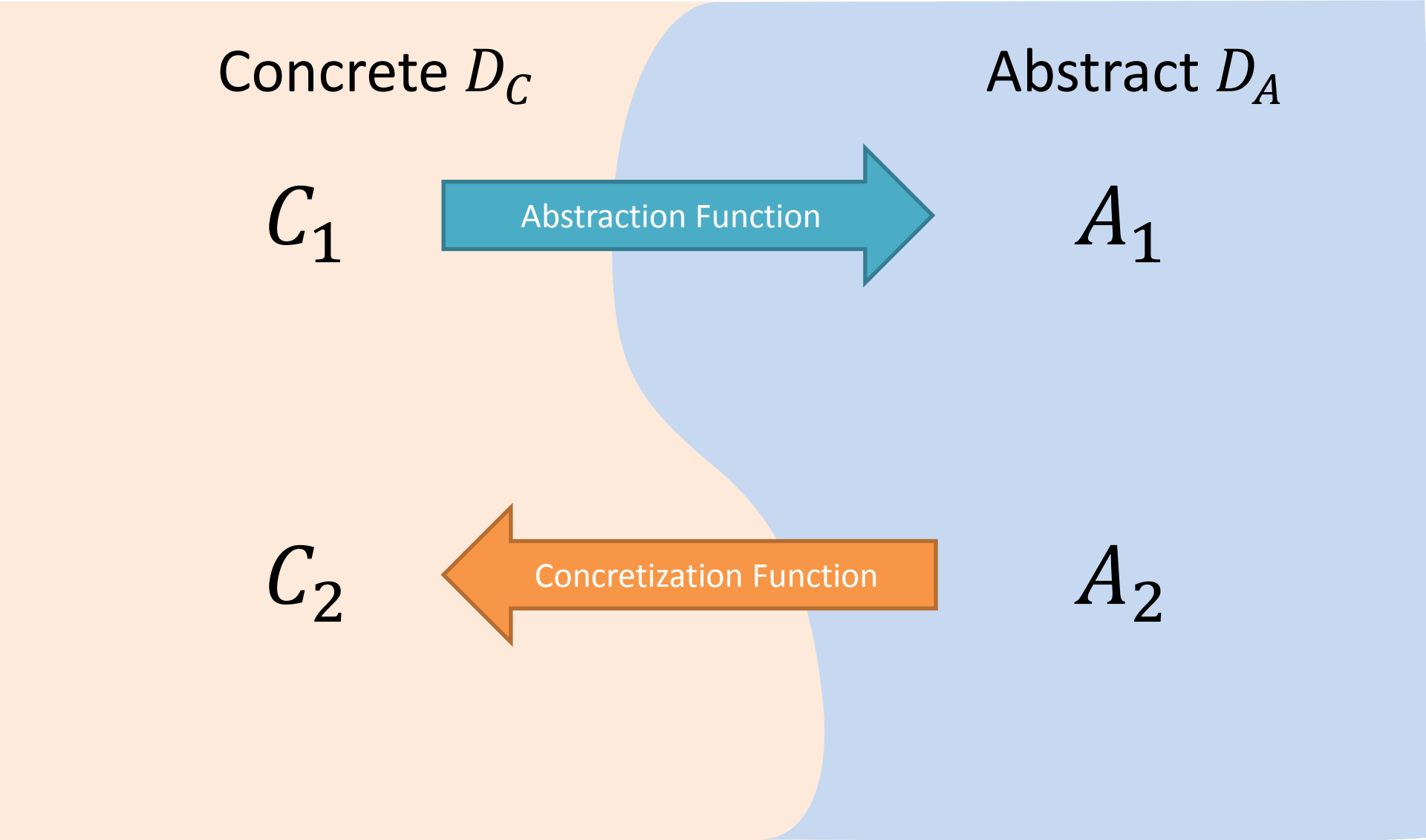
Abstraction Function

$A_1$

$C_2$

Concretization Function

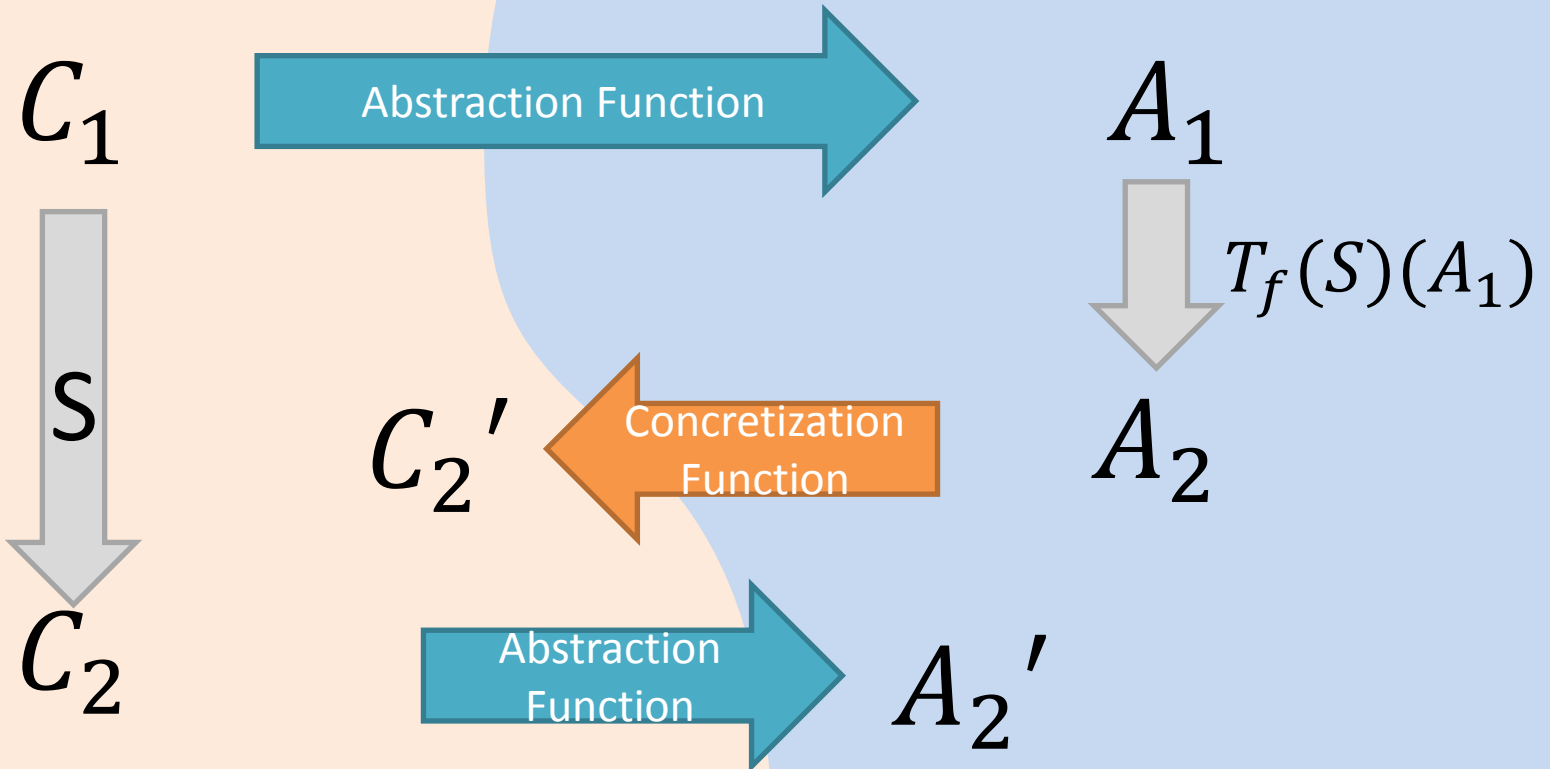
$A_2$



# Galois Connection

Concrete  $D_C$

Abstract  $D_A$



# Galois Connection

Concrete  $D_C$

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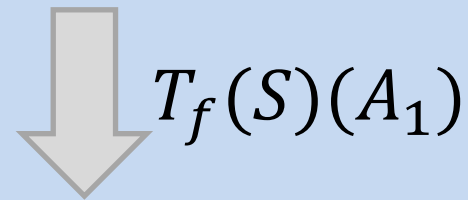
$C_1$

Abstraction Function

$A_1$



$C_2$



$A_2$

$C_2'$

Concretization Function

Abstraction Function

$A_2'$

$$C_2 \sqsubseteq C_2'$$

$$A_2' \sqsubseteq A_2$$

# Fix-point Algorithm

```
val Q = Queue(CFG.entry)
val Facts = CFG.nodes.map{n => n -> Bottom}.toMap

while(!Q.isEmpty) {
  val node = Q.dequeue()

  val res = Lattice.join(node.inEdges.map {
    case Edge(from, stmt, _) => Tf(stmt)(Facts(from))
  })

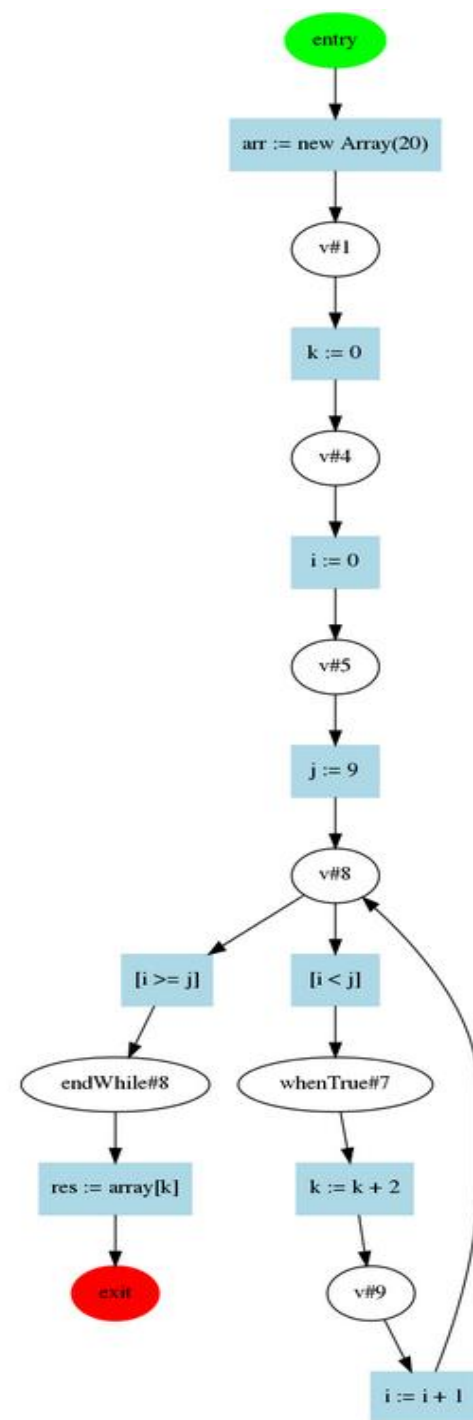
  if (res != Facts(node) || node == entry) {
    node.outEdges.foreach {
      case Edge(_, _, to) => Q.enqueue(to)
    }
  }
}
```

# Example

```
var arr = new Array[Int](20)
var k   = 0
var i   = 0
var j   = 9

while(i < j) {
  k += 2
  i += 1
}

val res = arr(k)
```



# Example: Ingredients

- Values Abstract Domain: Integer Intervals
- Partial order: interval inclusion
- Join:  
$$\sqcup ([l_1, u_1], \dots, [l_n, u_n]) = [\min(l_1, \dots, l_n), \max(u_1, \dots, u_n)]$$
- Abstraction function:  $\alpha(v) = [v, v]$
- Concretization function:  $\gamma([l, u]) = \{v \mid v \geq l \wedge v \leq u\}$

This is all per-value! For the actual abstract domain, we use a point-wise lattice and the corresponding point-wise operations.

# Transfer Function

- $r = a + b$   
 $\text{env}(r) = [\text{env}(a).l + \text{env}(b).l, \text{env}(a).u + \text{env}(b).u]$
- $r = \text{new Array}(s)$   
 $\text{env}(r\_size) = [\text{env}(s).l, \text{env}(s).u] = \text{env}(s)$   
 $\text{env}(r) = [0, 0]$
- $r = \text{arr}(i)$   
 $\text{env}(r) = \text{env}(\text{arr})$
- $[a < b]$   
 $\text{env}(a) = [\text{env}(a).l, \min(\text{env}(a).u, \text{env}(b).u)]$   
 $\text{env}(b) = [\max(\text{env}(b).l, \text{env}(a).l), \text{env}(b).u]$

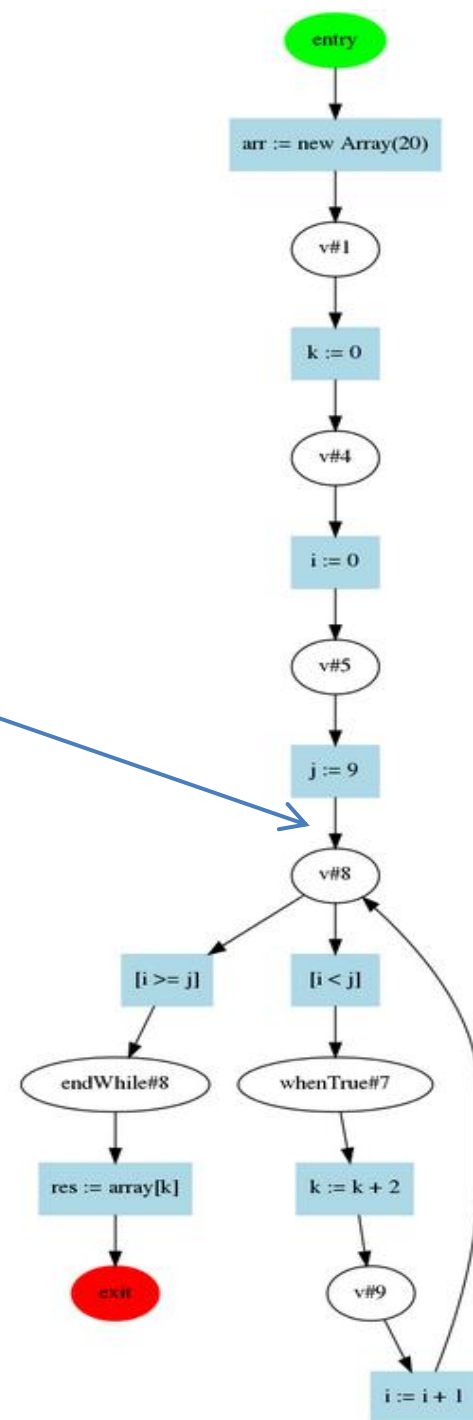


# Validity Conditions

- `new Array(s)`  
 $env(s) \sqsubseteq [0, +\infty]$
- `r = a[i]`  
 $env(i) \sqsubseteq [0, env(a_{size}).l - 1]$
- `r[i] = b`  
 $env(i) \sqsubseteq [0, env(r_{size}).l - 1]$

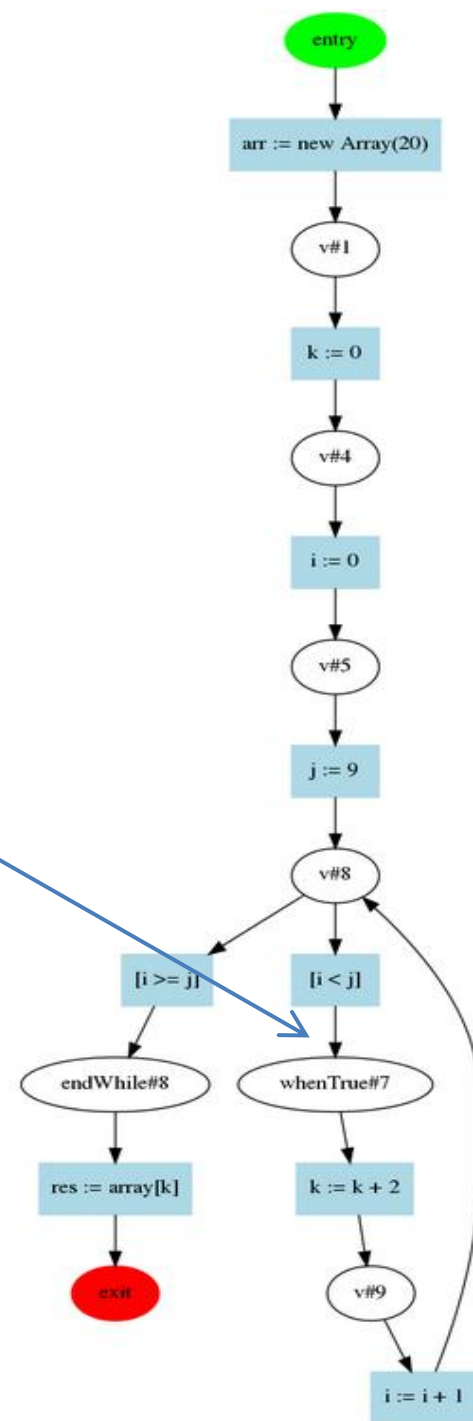
# Example

$arr_{size} \mapsto [20,20]$   
 $k \mapsto [0,0]$   
 $i \mapsto [0,0]$   
 $j \mapsto [9,9]$



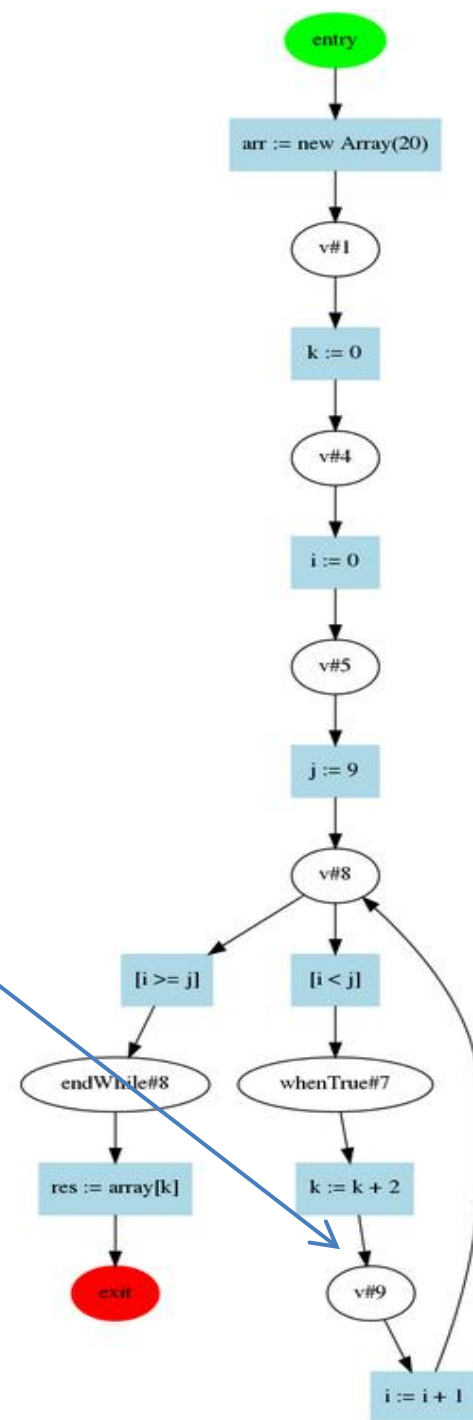
# Example

$arr_{size} \mapsto [20,20]$   
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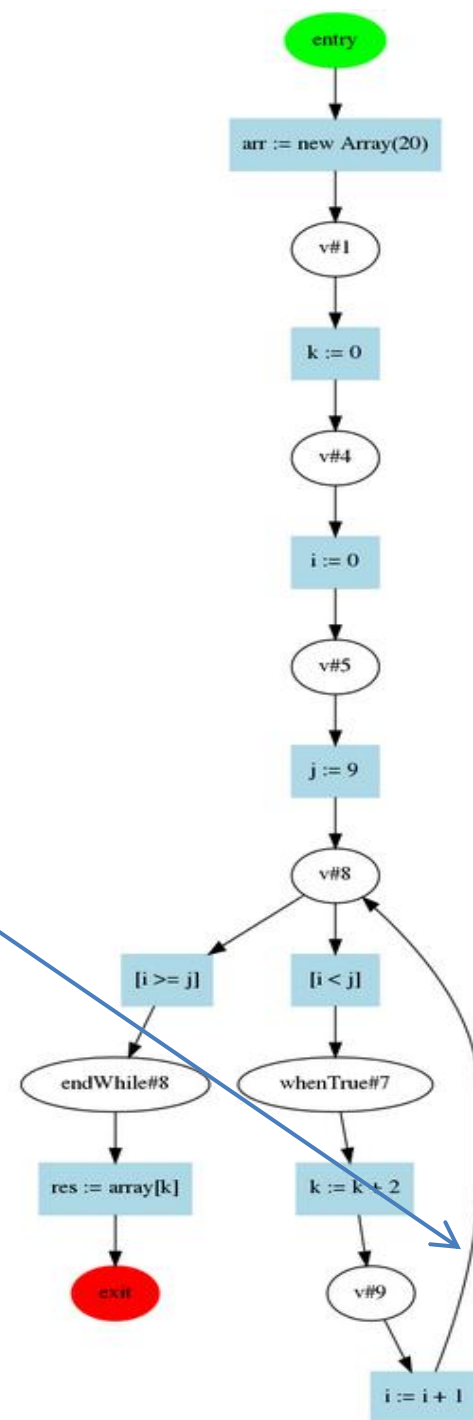
# Example

$arr_{size} \mapsto [20,20]$   
 $k \mapsto [2,2]$   
 $i \mapsto [0,0]$   
 $j \mapsto [9,9]$



# Example

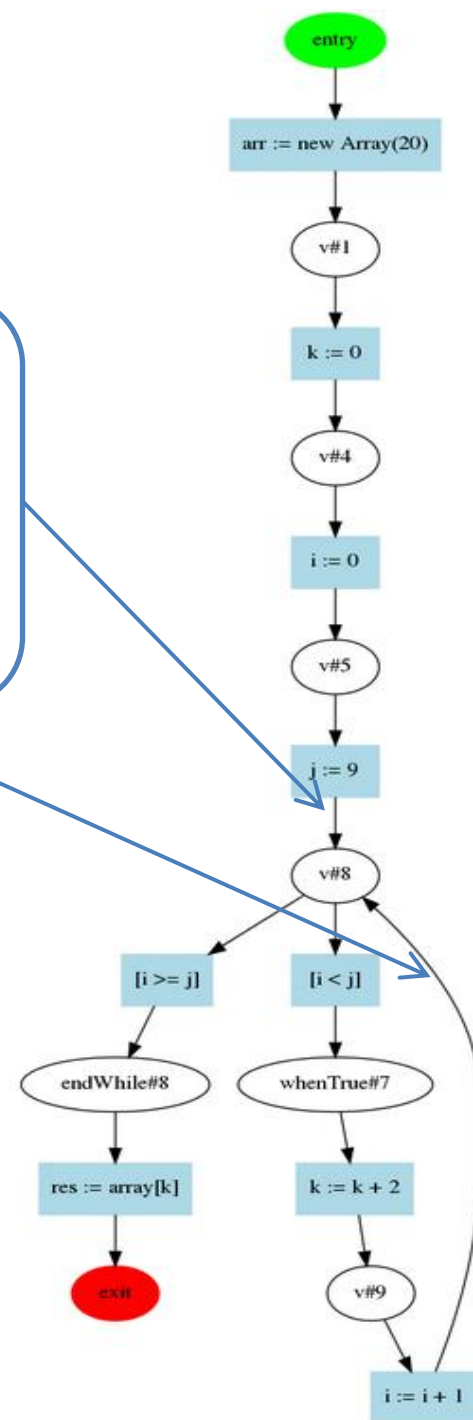
$arr_{size} \mapsto [20,20]$   
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 $j \mapsto [9,9]$



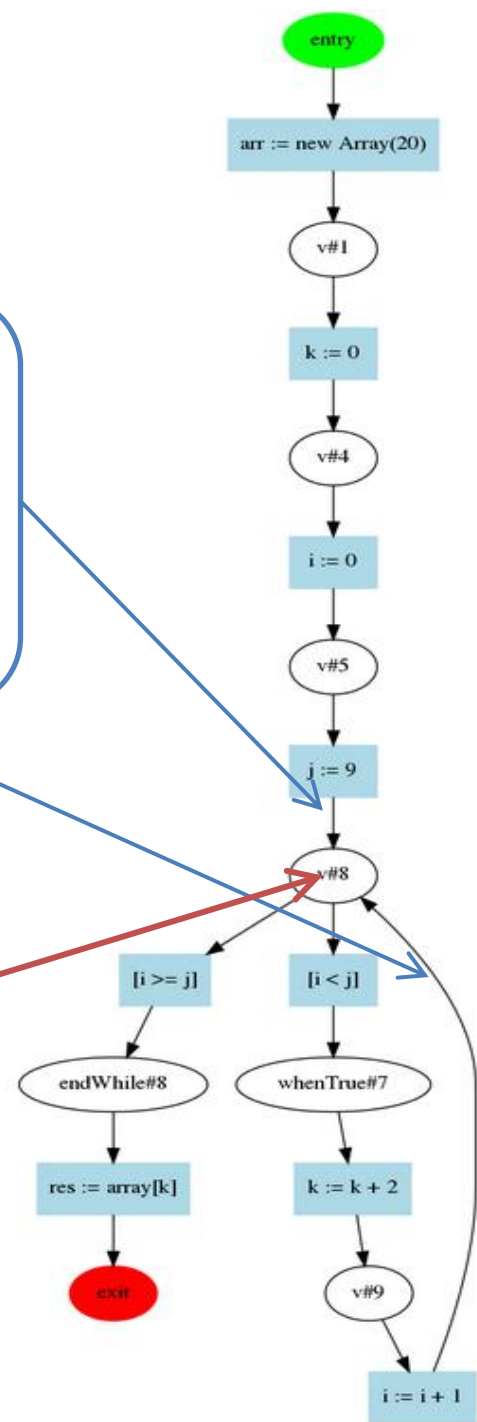
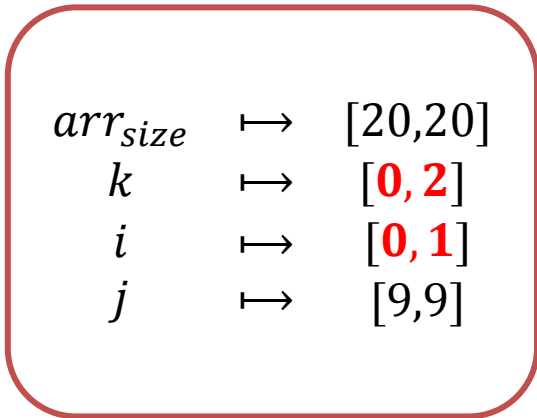
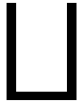
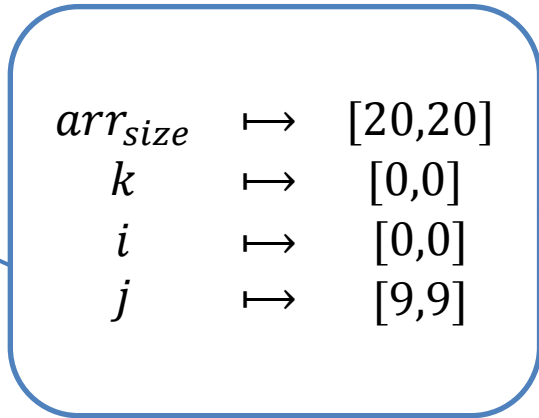
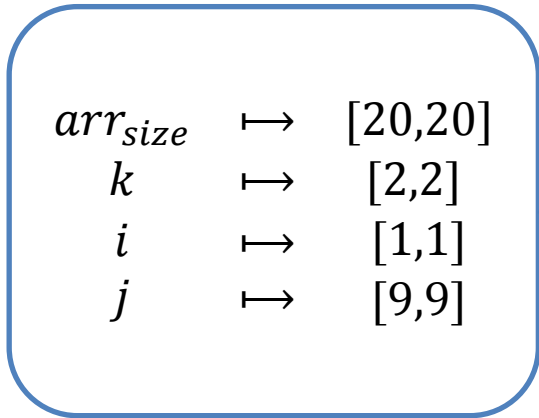
# Example

$arr_{size} \mapsto [20,20]$   
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 $i \mapsto [1,1]$   
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$arr_{size} \mapsto [20,20]$   
 $k \mapsto [0,0]$   
 $i \mapsto [0,0]$   
 $j \mapsto [9,9]$

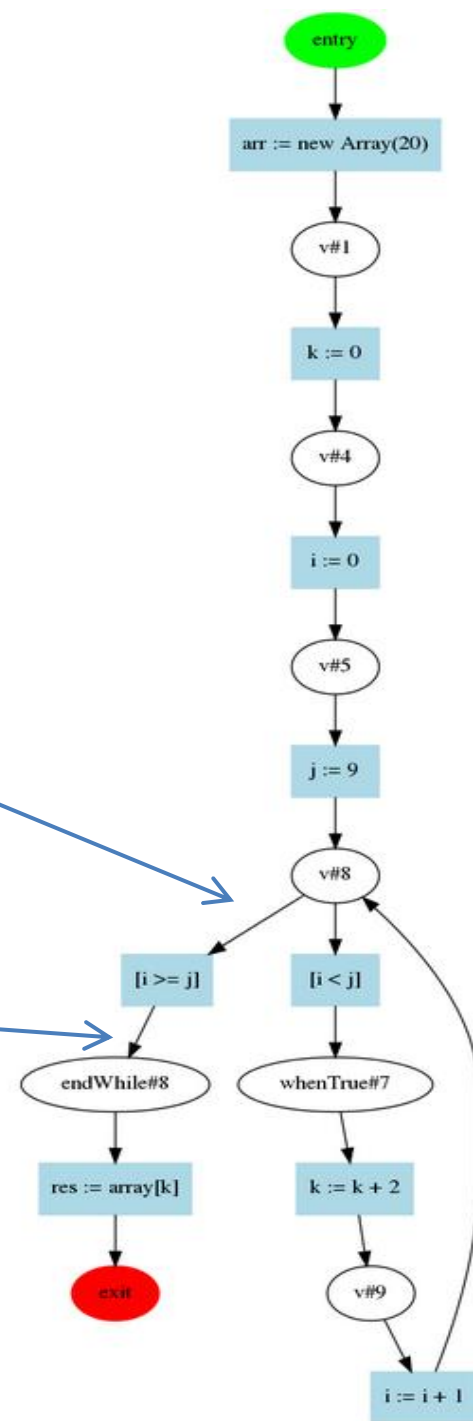
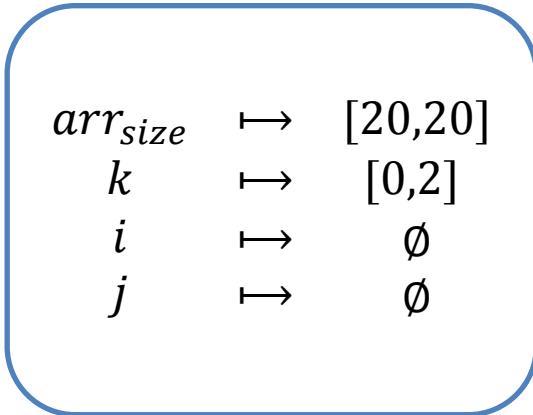
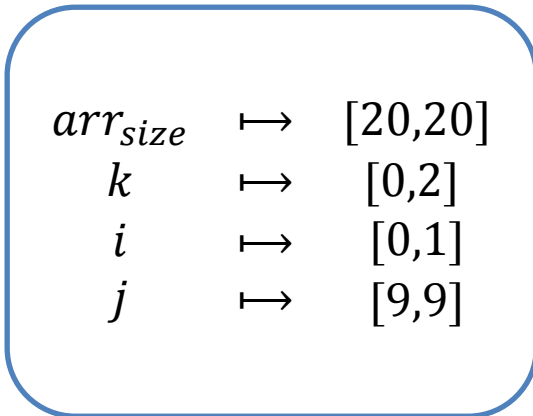


# Example



# Example

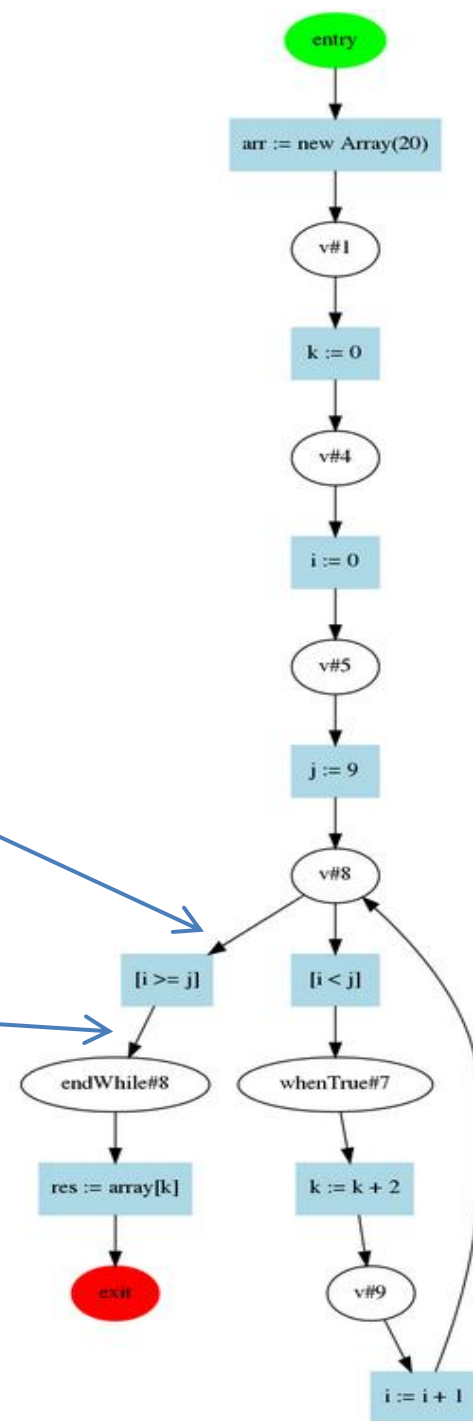
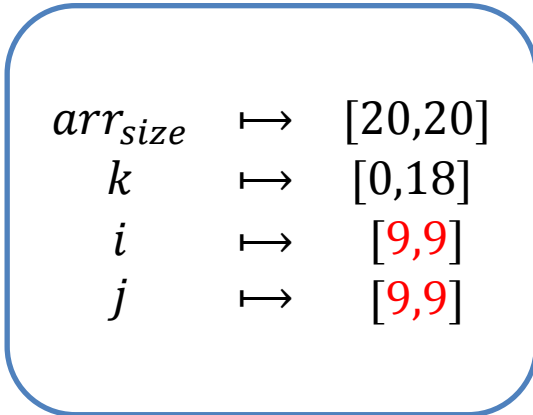
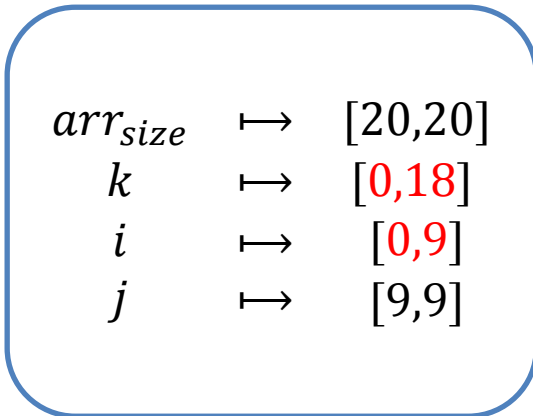
After 1 iteration:





# Example

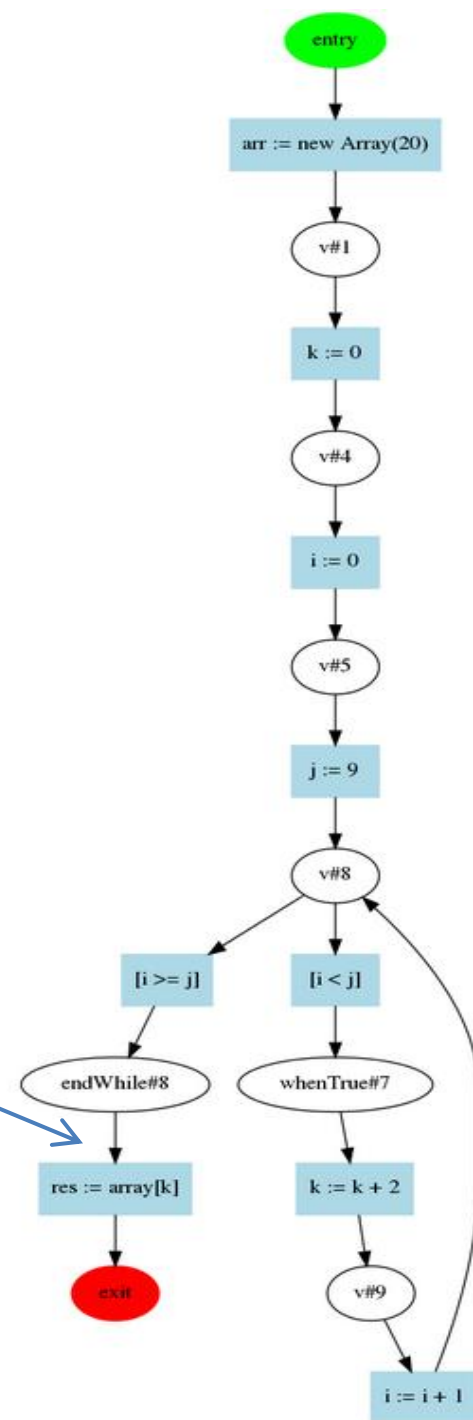
After N iterations:



# Example

Once we reached the fix-point:

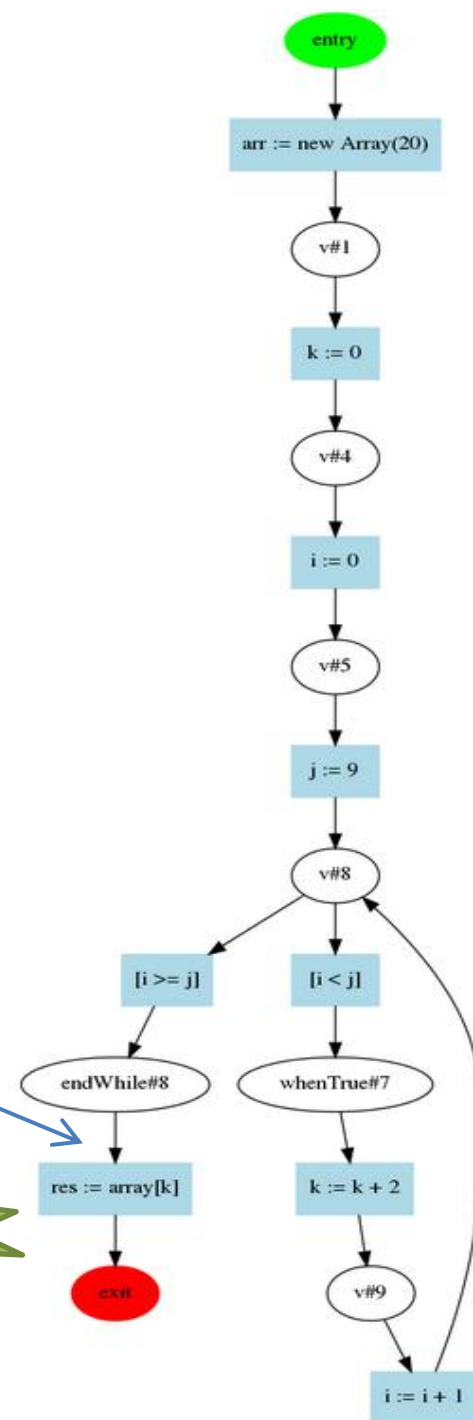
$arr_{size} \mapsto [20,20]$   
 $k \mapsto [0,18]$   
 $i \mapsto [9,9]$   
 $j \mapsto [9,9]$



# Example

Once we reached the fix-point:

$arr_{size} \mapsto [20,20]$   
 $k \mapsto [0,18]$   
 $i \mapsto [9,9]$   
 $j \mapsto [9,9]$



# Second Example: First Annoyance

```
var arr = new Array[Int] (200000)
var k    = 0
var i    = 0
var j    = 100000

while(i < j) {
    k += 2
    i += 1
}

val res = arr(k)
```

# Third Example: Big Problem

```
var arr = new Array[Int] (20)
var k    = 0
var i    = 0
var j    = -1

while(i < j) {
    k += 2
    i += 1
}

val res = arr(k)
```

# Solution: Widening Operator $\nabla$

- $\nabla_* : \wp(D) \mapsto D$ 
  - ✓  $\forall v \in V . \nabla_*(V) \sqsupseteq v$
  - ✓ For every ascending chains  $\{v_i\}_{i \geq 0}$  the chain defined as:  
 $w_0 = v_0, w_i = \nabla_*(\{v_j \mid 0 \leq j \leq i\})$   
is ascending and eventually stabilizes

# Solution: Widening Operator $\nabla$

At P:

$$1: \text{env}(i) = [0,1]$$

$$2: \text{env}(i) = [0,2]$$

...

$$5: \text{env}(i) = [0,10]$$

$$6: \text{env}(i) = [0,20]$$

...

$$10: \text{env}(i) = [0,+\infty]$$

$$11: \text{env}(i) = [0,+\infty]$$

# Is it precise enough?

$$x \mapsto [0,1]$$

...

$$y = x$$

...

$$z = y - x$$

$$\text{arr}(z) = 1$$



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$$x \mapsto [0,1]$$

$$y \mapsto [0,1]$$

$$z \mapsto [-1,1]$$

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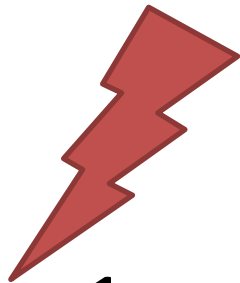
...

$$y = x$$

...

$$z = y - x$$

$$\text{arr}(z) = 1$$



# Another Abstract Domain: Octagons

- Tracks relations between pairs of variables:

$$(x + y) \in [c1, c2]$$

$$(x - y) \in [c3, c4]$$

$$x \in [c5, c6]$$

$$y \in [c7, c8]$$

# Another Abstract Domain: Octagons

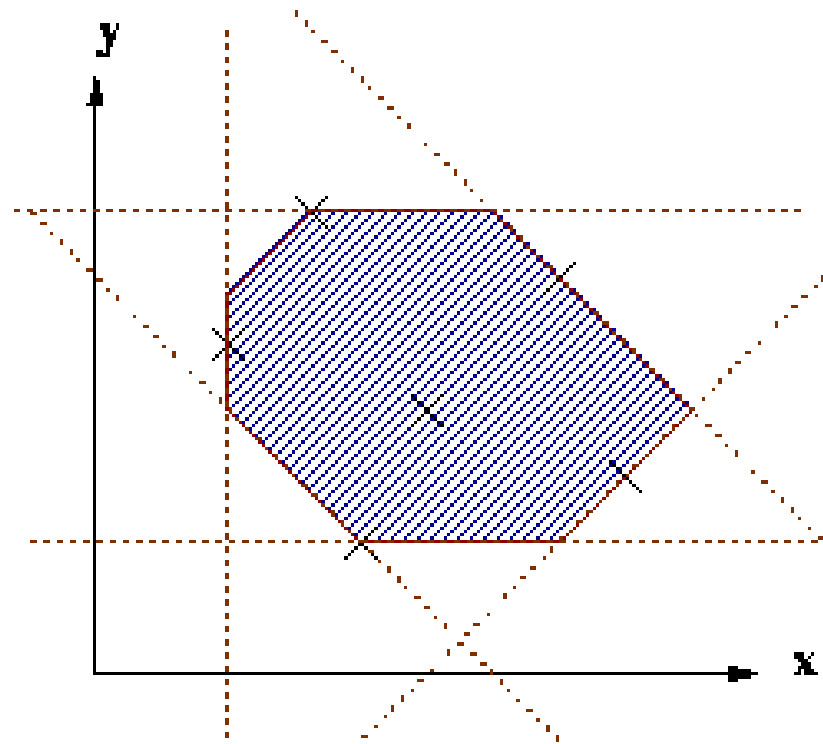
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# Now, is it precise enough?

$$x \mapsto [0,1]$$

...

$$y = x$$

...

$$z = y - x$$

$$\text{arr}(z) = 1$$

# Now, is it precise enough?

$$x \mapsto [0,1]$$

...

$$x \mapsto [0,1]$$

$$y = x$$

$$y \mapsto [0,1]$$

$$y + x \mapsto [0,2]$$

...

$$y - x \mapsto [0,0]$$

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$$y + x \mapsto [0,2]$$

...

$$y - x \mapsto [0,0]$$

$$z = y - x$$

$$z \mapsto [0,0]$$



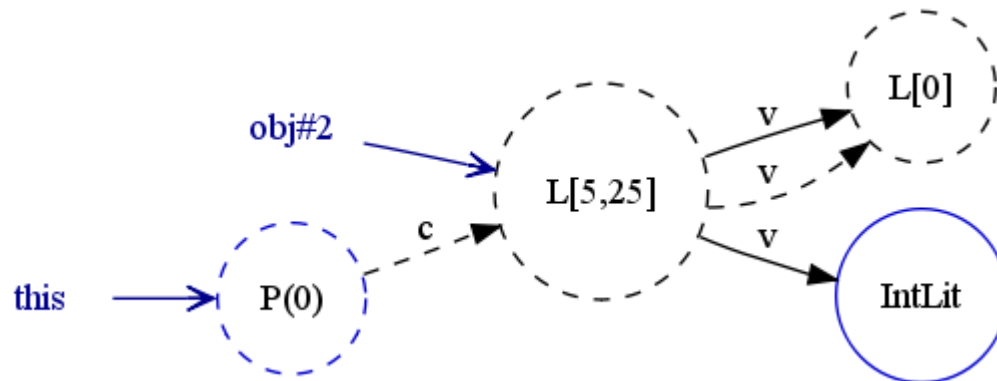
$$\text{arr}(z) = 1$$

# Another Abstract Domain: Octagons

- Efficient encoding into matrices
- Can be seen as the shortest path between two edges
- Ensures a unique lub

# What can we do with AI?

- Almost any kind of analysis!
- Used personally for:
  - Type reconstruction/checking for PHP
    - Abstract domain: Complex types
  - Interprocedural and compositional effect analysis for Scala
    - Abstract domain: Heap-modeling effect graphs



# What we have seen

- Abstract Interpretation
  - Analysis Framework based on approximation that ensures:
    - Soundness
    - Termination
  - Parametric in almost every aspects
- Two abstract domains for tracking numerical values:
  - Intervals: very simple, fast, but imprecise
  - Octagons: refined, more precise, fast implementation, used in Astree

Thank you!